

#3

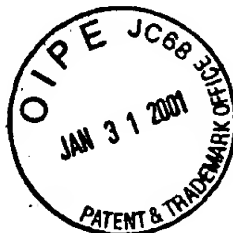


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,067	12/11/2000	Gi-Young Song	P56237

Robert E. Bushnell
1522 K Street, N.W., Suite 300
Washington, DC 20005-1202



CONFIRMATION NO. 2758

FORMALITIES LETTER



OC00000005692087

Date Mailed: 01/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$530.
 - \$450 for 25 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1370.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/02/2001 09:00:00 09733067
710.00 OP
130.00 OP
450.00 OP
80.00 OP
01 FC:101
02 FC:105
03 FC:103
04 FC:102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GI-YOUNG SONG *et al.*

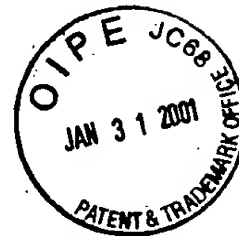
Serial No.: 09/733,067

Examiner: *to be assigned*

Field: 11 December 2000

Art Unit: 2879

For: SHADOW MASK FRAME ASSEMBLY FOR FLAT CRT



RESPONSE TO NOTICE TO FILE MISSING PARTS

The Assistant Commissioner
of Patents
Washington, D.C. 20231

Sir:

Applicants submits herewith the original Notice to File Missing Parts of Application dated 19 January 2001 and Applicant's check drawn to the order of the Commissioner of the Patents in the total amount of \$1,370.00. The Commissioner is authorized to charge Deposit Account No. 02-4943 should any underpayment occur.

Respectfully submitted,

A handwritten signature of Robert E. Bushnell.

Robert E. Bushnell

Reg. No.: 27,774

Attorney for the Applicant

1522 "K" Street, N.W., Suite 300
Washington, D.C. 20005
(202) 408-9040

Folio: P56237
Date: 1/31/01
I.D.: REB/sys

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GI-YOUNG SONG *et al.*

Serial No.: 09/733,067

Field: 11 December 2000

For:

SHADOW MASK FRAME ASSEMBLY FOR FLAT CRT



Examiner: *to be assigned*

Art Unit: 2879

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

The Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

The attorney for the Applicant notes that the Official Filing Receipt (PTO-103X) for the above-referenced Application bears an incorrect spelling of the second inventor's name from the Applicant's inadvertent mistake in the Declaration filed on 11 December 2000.

- 1) Please correct the inventor's name;
from "Do-Hun Peen" to --Do-Hun Pyun--
as shown in an attached copy of an executed Substitute Declaration.**

Please correct and re-issue a Corrected Filing Receipt with a correction as mentioned above.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert E. Bushnell".

Robert E. Bushnell
Attorney for the Applicant
Reg. No.: 27,774

1522 "K" Street, N.W., Suite 300
Washington, DC 20005
(202) 408-9040

Enclosures: copies of a Filing Receipt dated 19 January 2001, a Substitute Declaration dated 4 January 2001 and filed on 31 January 2001, and a Petition under 37 CFR §1.181 filed on 31 January 2001.

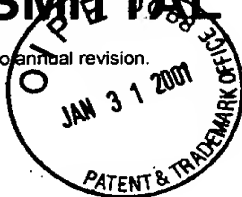
Folio: P56237 Date: 1/31/01 I.D.: REB/sys

#3, Jeff K...

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision.



Complete If Known

Application Number	09/733,067
Filing Date	11 December 2000
First Named Inventor	GI-YOUNG SONG
Examiner Name	to be assigned
Group/Art Unit	2879
Attorney Docket No.	P56237

TOTAL AMOUNT OF PAYMENT (\$)**1,540.00**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **02-4943**
Deposit Account Number: _____

☐ Charge Any Additional Fee Required Under 37 C.F.R. §1.16 and 1.17.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: (CHECKS #38100, 38101 & 38102)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$ 710.00
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$

SUBTOTAL (1) (\$ 710.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total claims 45	-20** = 25	x 18	= 450

Independent Claims 4 -3** = 1 x 80 = 80

Multiple Dependent =
** or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 530.00)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	\$ 130.00
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for reexamination	\$
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within second month	\$
117	890	217	445	Extension for reply within third month	\$
118	1,390	218	695	Extension for reply within fourth month	\$
128	1,890	228	945	Extension for reply within fifth month	\$
119	310	219	155	Notice of Appeal	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	270	221	135	Request for oral hearing	\$
138	1,510	138	1,510	Petition to institute a public use proceeding	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,240	241	620	Petition to revive - unintentional	\$
142	1,240	242	620	Utility issue fee (or reissue)	\$
143	440	243	220	Design issue fee	\$
144	600	244	300	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	240	126	240	Submission of Information Disclosure Statement	\$
581	40	581	40	Recording each patent assignment per property (Times number of properties)	\$ 40.00
146	710	246	355	Filing a submission after final rejection (37 C.F.R. §1.129(a))	\$
149	710	249	355	For each additional invention to be examined (37 C.F.R. §1.129(b))	\$
Other Fee (specify) <u>Patent Petition Processing Fee (fee code 098)</u>					\$ 130.00
Other Fee (specify) _____					\$

** Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 300.00

SUBMITTED BY

Typed or Printed Name

Robert E. Bushnell, Esq.

Reg. Number

27,774

Signature

Robert E. Bushnell

Date

31 January 2001

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GI-YOUNG SONG *et al.*

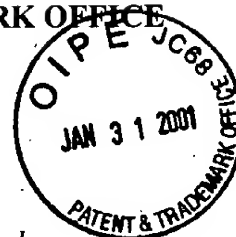
Serial No.: 09/733,067

Examiner: *to be assigned*

Field: 11 December 2000

Art Unit: 2879

For: SHADOW MASK FRAME ASSEMBLY FOR FLAT CRT



TRANSMITTAL OF SUBSTITUTE DECLARATION


The Assistant Commissioner
of Patents
Washington, D.C. 20231

Sir:

Accompanying this transmittal is a Substitute Declaration to the Declaration which was filed on 11 December 2000 for the above-referenced application, and had an error in spelling of the second inventor's name.

No fee is incurred by this submission of the Supplemental Declaration.

Respectfully submitted,


Robert E. Bushnell
Reg. No.: 27,774
Attorney for the Applicant

1522 "K" Street, N.W., Suite 300
Washington, D.C. 20005-1202
(202) 408-9040

Folio: P56237
Date: 1/31/01
I.D.: REB/sys

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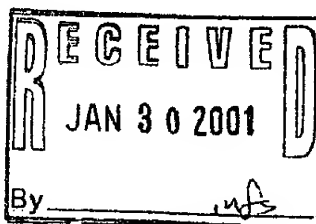
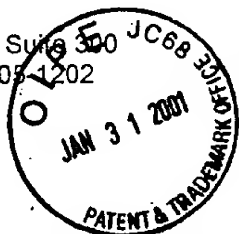


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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/733,067	12/11/2000	2879	0	P56237	6	45	4

Robert E. Bushnell
1522 K Street, N.W., Suite 500
Washington, DC 20005-1202



CONFIRMATION NO. 2758

FILING RECEIPT



OC000000005692086

Date Mailed: 01/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gi-Young Song, Suwon-city, KOREA, REPUBLIC OF;
Do-Hun Peen, Yongin-city, KOREA, REPUBLIC OF;

Continuing Data as Claimed by Applicant

Please correct this to:
-- Do-Hun Pyun --

Foreign Applications

REPUBLIC OF KOREA 99-56747 12/10/1999

If Required, Foreign Filing License Granted 01/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Shadow mask frame assembly for flat CRT

Preliminary Class

313

COPY